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NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

01/13/2006

Karen J Messick ESQ c/o KOS Pharmaceuticals Inc 1001 Brickell Bay Drive 25th Floor Miami, FL 33131 JAN 2 3 2006

EXAMINER

CHANNAVAJJALA, LAKSHMI SARADA

ART UNIT PAPER NUMBER

1615

DATE MAILED: 01/13/2006

1	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	ı
۰	08/960,557	10/31/1997	EUGENIO A. CEFALI	32892-00023	6174	

TITLE OF INVENTION: METHODS FOR TREATING HYPERLIPIDEMIA WITH INTERMEDIATE RELEASE NICOTINIC ACID COMPOSITIONS HAVING UNIQUE BIOPHARMACEUTICAL CHARACTERISTICS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$700	04/13/2006

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

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(571) 273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 01/13/2006 7590 Karen J Messick ESQ Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. c/o KOS Pharmaceuticals Inc 1001 Brickell Bay Drive 25th Floor (Depositor's name) Miami, FL 33131 (Signature (Date) FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. FILING DATE EUGENIO A. CEFALI 32892-00023 08/960,557 10/31/1997 TITLE OF INVENTION: METHODS FOR TREATING HYPERLIPIDEMIA WITH INTERMEDIATE RELEASE NICOTINIC ACID COMPOSITIONS HAVING UNIQUE **BIOPHARMACEUTICAL CHARACTERISTICS** PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE ISSUE FEE APPLN. TYPE SMALL ENTITY 04/13/2006 \$700 \$700 nonprovisional YES \$0 CLASS-SUBCLASS **EXAMINER** ART UNIT CHANNAVAJJALA, LAKSHMI SARADA 1615 424-468000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Please check the appropriate assignee category or categories (will not be printed on the patent): \Box Individual \Box Corporation or other private group entity \Box Government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): ☐ Issue Fee A check in the amount of the fee(s) is enclosed. Payment by credit card. Form PTO-2038 is attached. Publication Fee (No small entity discount permitted) The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Advance Order - # of Copies Deposit Account Number (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Registration No. Typed or printed name

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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DATE MAILED: 01/13/2006

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
08/960,557	10/31/1997	EUGENIO A. CEFALI	32892-00023 6174	
75	90 01/13/2006		EXAM	INER
Karen J Messick I			CHANNAVAJJALA,	LAKSHMI SARADA
c/o KOS Pharmacei 1001 Brickell Bay I			ART UNIT	PAPER NUMBER
25th Floor			1615	
Miami, FL 33131			DATE MAIL ED 01/12/200	•

Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

	Application No.	Applicant(s)			
	08/960,557	CEFALI ET AL.			
Notice of Allowability	Examiner	Art Unit			
	Lakshmi S. Channavajjala	1615			
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this apport of the rappropriate communication GHTS. This application is subject to and MPEP 1308.	orrespondence address blication. If not included will be mailed in due course. THIS			
1. This communication is responsive to <u>4-5-05,4-27-05,1-4-05</u>	<u>5,1-11-05</u> .				
2. The allowed claim(s) is/are 29,30,32-36,38-42 and 44-61.					
3. $\hfill \square$ Acknowledgment is made of a claim for foreign priority un	nder 35 U.S.C. § 119(a)-(d) or (f).	·			
a) ☐ All b) ☐ Some* c) ☐ None of the:					
Certified copies of the priority documents have					
2. Certified copies of the priority documents have					
3. Copies of the certified copies of the priority do	cuments have been received in this	national stage application from the			
International Bureau (PCT Rule 17.2(a)).					
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.					
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give	itted. Note the attached EXAMINER es reason(s) why the oath or declara	'S AMENDMENT or NOTICE OF tion is deficient.			
5. CORRECTED DRAWINGS (as "replacement sheets") must	st be submitted.				
(a) I including changes required by the Notice of Draftspers	son's Patent Drawing Review (PTO-	948) attached			
1) 🗌 hereto or 2) 🔲 to Paper No./Mail Date					
(b) ☐ including changes required by the attached Examiner' Paper No./Mail Date		·			
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t	.84(c)) should be written on the drawi he header according to 37 CFR 1.121(ngs in the front (not the back) of d).			
6. DEPOSIT OF and/or INFORMATION about the depo- attached Examiner's comment regarding REQUIREMENT	sit of BIOLOGICAL MATERIAL (FOR THE DEPOSIT OF BIOLOGIC	must be submitted. Note the AL MATERIAL.			
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. Notice of Informal F	Patent Application (PTO-152)			
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. Interview Summary				
3. ☑ Information Disclosure Statements (PTO-1449 or PTO/SB/ Paper No./Mail Date 1-4-05	Paper No./Mail Da 08), 7. ☒ Examiner's Amend	ment/Comment			
Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. X Examiner's Statem	ent of Reasons for Allowance			
5. Biologica material	9.				
		THURMAN K. PAGE SUPERVISORY PATENT EXAMINER JECHNOLOGY CENTER 1600			

Application/Control Number: 08/960,557

Art Unit: 1615

Examiner's Amendment

The application has been amended as follows:

On the page titled "Listing of claims", before claim 29, insert:

1-28. Cancelled.

Application/Control Number: 08/960,557

Art Unit: 1615

Allowable Subject Matter

Claims 29, 30, 32-36, 38-42, 44-61 are allowed.

The following is an examiner's statement of reasons for allowance:

Instant claims are directed to a method of treating cholesterol disorders with an immediate release nicotinic acid, wherein the formulation have a dissolution curve similarity of fit factor F2 of at least 44 and have specific release characteristics at different time intervals. While nicotinic acid treatment for controlling cholesterol disorders is known, absent the instant disclosure the intermediate release of nicotinic acid for up to 20 hours would not have been obvious for one of an ordinary skill in the art.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lakshmi S. Channavajjala whose telephone number is 571-272-0591. The examiner can normally be reached on 9.00 AM -6.30 PM

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thurman K. Page can be reached on 571-272-0602. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 08/960,557

Art Unit: 1615

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Lakshmi S Channavajjala

Examiner

Art Unit 1615

January 5, 2006

THURMAN/K. PAGE
SUPERVISORY (PATENT EXAMINER
TECHNOLOGY CENTER 1600

THURMAN K. PAGE
SUPERVISORY PATENT EXAMINE
TECHNOLOGY CENTER 10

•		633	•
	Attachment		

The drawings submitted with this application were declared informal by the applicant. Accordingly they have not been reviewed by a draftsperson at this time. When formal drawings are submitted, the draftsperson will perform a review.

Direct any inquires concerning drawing review to the Drawing Review Branch (703) 305-8404.

Substitute PTO-948

FORM PTO 1449 (modified)			ATTY DOCKET NO. APPLICATION NO. 08/960,577					
		DEPARTMENT OF COMM ENT AND TRADEMARK OF		APPLICANT Eugenio Cefali, et al.				
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Expert Report of Don W. Martens Regarding U.S. Patent No. 6,676,967 (August 20, 2004) [Redacted Version] - Subject to Protective Order								
	Expert Report of Dr. Joseph R. Robinson (February 5, 2004) [Redacted Version] - Subject to Protective Order					ersion]		
	Expert Report of Dr. Joseph R. Robinson Regarding U.S. Patent No. 6,676,967 (August 18, 2004) - Subject to Protective Order							
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FORM PTO 1449 (m	odified)	ATTY DOCKET NO. APPLICATION NO. 08/980,577		
		5045-56103USCIP	08/80,577	
	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	5045-56103USCIP APPLICANT Eugenio Cefali, et el. R	0 4 2005	
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	Rebuttal Expert Report of J - Subject to Protective Orde		(April 2, 2004)	
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	Rebuttal Expert Report of J Regarding The '967 Patent - Subject to Protective Orde	(September 30, 2004)		
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

Sheet 2 of 6

L. Channavajjale

12/29/05

FORM PTO 1449 (modified)		ATTY DOCKET NO. 5045-56103USCIP	APPLICATION NO. 08/980,577		
	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	APPLICANT Eugenio Cefali, et al.			
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FORM PTO 1449 (modified)		ATTY DOCKET NO. 5045-56103USCIP	APPLICATION NO. 08/980,577	
	DEPARTMENT OF COMMERCE ENT AND TRADEMARK OFFICE	APPLICANT Eugenio Cefali, et al.	CEIVED	
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A	Carl J. Lavie, et al., Marked with "isolated" Very Low Lev Artery Disease, Am. J. Card	Benefit with Sustained-Release els of High-Density Lipoprotei iol. 1992:69:1083-1085	e Niacin Therapy in Patients n Cholesterol and Coronary	
	1993 edition (pp. 434, 6 2000 edition (pp. 1519- 2002 edition (pp. 1846-	1850)	1 and 2492)	
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	Thomas N. Tozer, Clinical I at Chapter 9, p. 120	Pharmacokinetics Concepts an	d Applications (3d ed. 1995)	
	Excerpts from the 1995 United States Pharmacopeia (pp. iii-vi, 1080-1082, 1793-1799, 1940-1944)			
	a New Sustained-Release Nic 1996)	t, Safe and Effective Treatmen acin, Clinical Pharmacology &	Therapeutics (February	
9	Patients With Hypercholeste Pharmacol. Therapeut. 1(3)	rolemia: A Placebo-controlled :195-202 (July 1996)	a Controlled-release Niacin, in Trial, J. Cardiovasc.	
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FORM PTO 1449 (m	odified)		ATTY DOCKET NO.		APPLICATION NO. 08/960,577		
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Guyton JR, Advances in Dyslipidemia: Discussion Session II, Am J Cardiol, 1998: 82(12A): 85U-86U							
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	20	001 NCEP Report at VI-11	, Table VI.2-3				
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		including Author, Title, Date, Pertinent Pages, Et			
Meadows M., Serious Liver Injury: Leading Reason for Drug Removals, Restrictions, FDA Consumer Magazione, May-June 2001; http://www.fda.gov/fdac/features/2001/301_liver.html					
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	Meyers CD, et al., Varying Cost and Free Nicotinic Acid Content in Over-the-Counter Niacin Preparations for Dyslipidemia, Ann Intern Med. 2003; 139:996-1002				
	CDER Guidance for Industry, Bioavailability and Bioequivalence Studies for Orally Administered Drug Products - General Considerations (March 2003)				
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EXAMINER L	Channary Jal	DATE CONSIDERED 12	129/15		
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